



33197

PATENT TRADEMARK OFFICE

Attorney Docket No. UCIVN-033A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Overman, et al. ) Art Unit: 1624  
)  
Serial No.: 10/018,630 ) Examiner: N/A  
)  
Filed: December 14, 2001 )  
)  
For: Hexahydropyrollo[1,2-C] )  
Pyrimidines as Antiviral, Antifungal )  
and/or Antitumor Agents )

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
(REVOCATION OF PRIOR POWERS)**

The Regents of the University of California, a California Corporation, as assignee of the entire right, title and interest in and to the above-identified United States Patent Application and the invention(s) disclosed therein, does hereby state and instruct as follows:

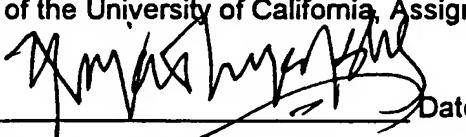
**REVOCATION OF PRIOR POWERS OF ATTORNEY**

All powers of attorney previously given are hereby revoked and

**NEW POWER OF ATTORNEY**

The following attorney(s) and/or agent(s) are hereby appointed to conduct all further prosecution of the above-identified patent application and to transact all business in the Patent and Trademark Office connected therewith on behalf of Stout, Uxa, Buyan & Mullins, LLP: ROBERT D. BUYAN, Reg. No. 32,460, FRANK J. UXA, Reg. No. 25,612; DONALD E. STOUT, Reg. No. 34,493; KENTON R. MULLINS, Reg. No. 36,331; JO ANNE YBABEN, Reg. No. 42,243; KETAN S. VAKIL, Reg. No. 43,215; LINDA ALLYSON FOX, Reg. No. 38,883; KYLE D. YESLAND, Ph.D., Reg. No. 45,526; GREG S. HOLLRIGEL, Ph.D., Registered Patent Agent, Reg. No. 45,374; LOUISE HEIM, Registered Patent Agent, Reg. No. 32,337, all of the firm of Stout, Uxa, Buyan & Mullins, LLP. Please direct all future correspondence and telephone calls to: Robert D. Buyan, Stout, Uxa, Buyan & Mullins, LLP, 4 Venture, Suite 300, Irvine, CA 92618, telephone: (949) 450-1750.

The Regents of the University of California, Assignee of Entire Interest:

Signature:   
(Print Name) V. J. Rajadhyaksha, Ph.D.

Date: 2/26/03

Please type a plus sign (+) inside this box →

PTO/SB/01.10-00

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration  
Submitted  
with Initial  
Filing      OR       Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number	
First Named Inventor      Larry A. Overman	
<b>COMPLETE IF KNOWN</b>	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

**As a below named Inventor, I hereby declare that:**

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole Inventor (if only one name is listed below) or an original, first and joint Inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the Invention entitled:

**A METHOD FOR IMPROVED CHEMICAL SYNTHESIS OF GUANIDINIUM ALKALOIDS**

the specification of which

(Title of the Invention)

Is attached hereto

OR

was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

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I hereby claim foreign priority benefits under 35 U.S.C. 119(e)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or Inventor's certificate, or any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
PCT/US00/18395	US	06/30/2000	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/142,027	06/30/1999	
60/142,028	06/30/1999	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/01 (10-00)

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to:  Customer Number  
or Bar Code Label  OR  Correspondence address below

Name Mandel & Adriano

Address 35 N. Arroyo Parkway

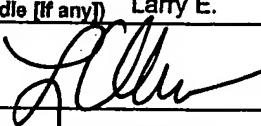
Address Suite 60

City Pasadena	State CA	ZIP 91103
Country USA	Telephone (626) 395-7801	Fax (626) 395-0694

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Larry E.	Family Name or Surname Overman
--	-----------------------------------

Inventor's Signature 	Date 10/6/01
---	--------------

Residence: City Corona del Mar	State CA	Country USA	Citizenship US
--------------------------------	----------	-------------	----------------

Mailing Address 1300 Sandcastle Drive

Mailing Address

City Corona del Mar	State CA	ZIP 92625	Country USA
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NAME OF SECOND INVENTOR:  A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Frank	Family Name or Surname Stappenbeck
---	---------------------------------------

Inventor's Signature	Date
-------------------------	------

Residence: City San Francisco	State CA	Country USA	Citizenship US
-------------------------------	----------	-------------	----------------

Mailing Address 4350 Kirkham Street

Mailing Address

City San Francisco	State CA	ZIP 94122	Country USA
--------------------	----------	-----------	-------------

Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box →

PTO/SB/02A (11-00)

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## DECLARATION

### ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Family Name or Surname		
Andrew I.		McDonald		
Inventor's Signature		Date		
Residence: City	Irvine	State	CA	Country
USA		Citizenship		US
Mailing Address 4100 Bison Avenue				
Mailing Address				
City	Irvine	State	CA	ZIP 92612 Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Family Name or Surname		
Inventor's Signature		Date		
Residence: City		State		Country Citizenship
Mailing Address				
Mailing Address				
City		State		ZIP Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Family Name or Surname		
Inventor's Signature		Date		
Residence: City		State		Country Citizenship
Mailing Address				
Mailing Address				
City		State		ZIP Country

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>		<b>Attorney Docket Number</b> <input style="width: 100%;" type="text" value="Larry A. Overman"/> <b>First Named Inventor</b> <input style="width: 100%;" type="text" value="Larry A. Overman"/> <b>COMPLETE IF KNOWN</b>	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		<b>Application Number</b> <input style="width: 100%;" type="text" value="1"/> <b>Filing Date</b> <input style="width: 100%;" type="text" value="1"/> <b>Group Art Unit</b> <input style="width: 100%;" type="text" value="1"/> <b>Examiner Name</b> <input style="width: 100%;" type="text" value="1"/>	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A METHOD FOR IMPROVED CHEMICAL SYNTHESIS OF GUANIDINIUM ALKALOIDS**

*(Title of the Invention)*

the specification of which

is attached hereto

OR

was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

(if applicable).

Application Number

and was amended on (MM/DD/YYYY)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
YES	NO			
<input type="checkbox"/> PCT/US00/18395	<input type="checkbox"/> US	<input style="width: 100px; height: 15px; border: 1px solid black;" type="text" value="06/30/2000"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
<input type="text" value="60/142,027"/> <input type="text" value="60/142,028"/>	<input type="text" value="06/30/1999"/> <input type="text" value="06/30/1999"/>	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to:		<input type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/>	Correspondence address below
Name	Mandel & Adriano			
Address	35 N. Arroyo Parkway			
Address	Suite 60			
City	Pasadena	State	CA	ZIP
Country	USA	Telephone	(626) 395-7801	Fax
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>				
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Family Name or Surname		
Larry E.		Overman		
Inventor's Signature		Date		
Residence: City	Corona del Mar	State	CA	Country
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Mailing Address 1300 Sandcastle Drive				
Mailing Address				
City	Corona del Mar	State	CA	ZIP
92625		Country USA		
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])		Family Name or Surname		
Frank		Stappenbeck		
Inventor's Signature		Date 4/10/01		
Residence: City	San Francisco	State	CA	Country
USA		US		Citizenship
Mailing Address 4350 Kirkham Street				
Mailing Address				
City	San Francisco	State	CA	ZIP
94122		Country USA		
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.				

Please type a plus sign (+) inside this box →

PTO/SB/02A (11-00)

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## DECLARATION

### ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Andrew I.		McDonald	
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Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
City		State	
ZIP		Country	
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Residence: City		State	
Country		Citizenship	
Mailing Address			
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ZIP		Country	

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DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted with Initial Filing      OR       Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	
First Named Inventor      Larry A. Overman	
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Application Number	/
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Examiner Name	

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	YES	NO
PCT/US00/18395	US	06/30/2000	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/>

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Direct all correspondence to:  Customer Number or Bar Code Label  OR  Correspondence address below

Name Mandel & Adriano

Address 35 N. Arroyo Parkway

Address Suite 60

City Pasadena State CA ZIP 91103

Country USA Telephone (626) 395-7801 Fax (626) 395-0694

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

A petition has been filed for this unsigned inventor

NAME OF SOLE OR FIRST INVENTOR :

Given Name Family Name  
(first and middle [if any]) Larry E. or Surname Overman

Inventor's Signature Date

Residence: City Corona del Mar State CA Country USA Citizenship US

Mailing Address 1300 Sandcastle Drive

Mailing Address

City Corona del Mar State CA ZIP 92625 Country USA

A petition has been filed for this unsigned inventor

Given Name Family Name  
(first and middle [if any]) Frank or Surname Stappenbeck

Inventor's Signature Date

Residence: City San Francisco State CA Country USA Citizenship US

Mailing Address 4350 Kirkham Street

Mailing Address

City San Francisco State CA ZIP 94122 Country USA

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PTO/SB/02A (11-00)

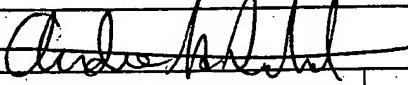
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## DECLARATION

### ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3 of 3

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Andrew I.		McDonald	
Inventor's Signature			Date <u>4-3-2001</u>
Residence: City	Irvine	State	CA
Country	USA	Citizenship	US
Mailing Address 4100 Bison Avenue			
Mailing Address			
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ZIP	92612	Country	USA
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Residence: City		State	
Country		Citizenship	
Mailing Address			
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City		State	
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<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
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Country		Citizenship	
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